

PATENT

Attorney Docket No. A-67229-12

Dorsey File No. 463077-00244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAHIYAT et al.

Serial No.:

10/666,311

Filing Date:

September 18, 2003

For:

Protein Design Automation for

Protein Libraries

Examiner:

Not Yet Assigned

Art Unit:

1639

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A-B, Substitute for form PTO 1449. This application is a divisional of U.S. Application Serial No. 09/782,004, filed February 12, 2001 (pending). The references cited on the accompanying form PTO/SB/08A-B, Substitute for form PTO-1449, not marked with an asterisk (*), were previously disclosed in the above-mentioned application and in accordance with 37 C.F.R. § 1.98(d), no copies of these references are enclosed.

Serial No.:

10/666,311

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Further, in accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 463077-00244 (A-67229-12/RFT/RMS/RMK)).

SF-1148074_1

Serial No.:

10/666,311

Filing Date:

September 18, 2003

Please direct any calls in connection with this application to the undersigned at

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Respectfully submitted,

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Attachments:

Form PTO/SB/08A-B, Substitute for form PTO 1449

3 references

Sheet

Substitute for form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/666,311
Filing Date	September 18, 2003
First Named Inventor	DAHIYAT, Bassil I.
Art Unit	1639
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-67229-12

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date Name of Patentee or Applicant of Cited Name of Patentee or Applicant or Applica		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (<i>if</i> known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B1 *	EP 0 974 111 B1	01-26-2000	California Institute of Technology		
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	B8 *	WO 03/014325 A2, A3	02-20-2003	Xencor		

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Graphical is possible.

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	Substitute for	form 1449/	VPTO		Complete if Known	
	(Mo	odified)		Application Number	10/666,311	
IN	IFORMATIOI	N DISC	CLOSURE	Filing Date	September 18, 2003	
STATEMENT BY APPLICANT		First Named Inventor	DAHIYAT, Bassil I.			
				Art Unit	1639	
	(use as many sh	ieets as ne	cessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	5	Attorney Docket Number	A-67229-12	_

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T⁵			
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Examiner	Date Considered	
Signature	Considered	

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	Substitute for fo		V PTO		Complete if Known				
	(Modi	ified)		Application Number	10/666,311				
IN	IFORMATION	DISC	CLOSURE	Filing Date	September 18, 2003				
STATEMENT BY APPLICANT		First Named Inventor	DAHIYAT, Bassil I.						
				Art Unit	1639				
	(use as many shee	ets as ne	cessary)	Examiner Name	Not Yet Assigned				
Sheet	3	of	5	Attorney Docket Number	A-67229-12				

		NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	No.¹ date, page(s), volume-issue number(s), publisher, city and/or country where published. DESMET et al., The "Dead-End Elimination" Theorem: A New Approach to the Side-Chain Packing Problem," The Protein								
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Examiner Signature Consid	red

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Substitute for form 1449A/PTO					Complete if Known
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IN	INFORMATION DISCLOSURE			Filing Date	September 18, 2003
S	STATEMENT BY APPLICANT		First Named Inventor	DAHIYAT, Bassil I.	
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Sheet 4 of 5				Attorney Docket Number	A-67229-12

		NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	s* No. date, page(s), volume-issue number(s), publisher, city and/or country where published.								
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١	Signature	Considered

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	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	76			
	C61	VAN GUNSTEREN et al., "Prediction of the Activity and Stability Effects of Site-directed Mutagenesis on a Protein Core," J. Mol. Biol., 1992, 227:389-395.				
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